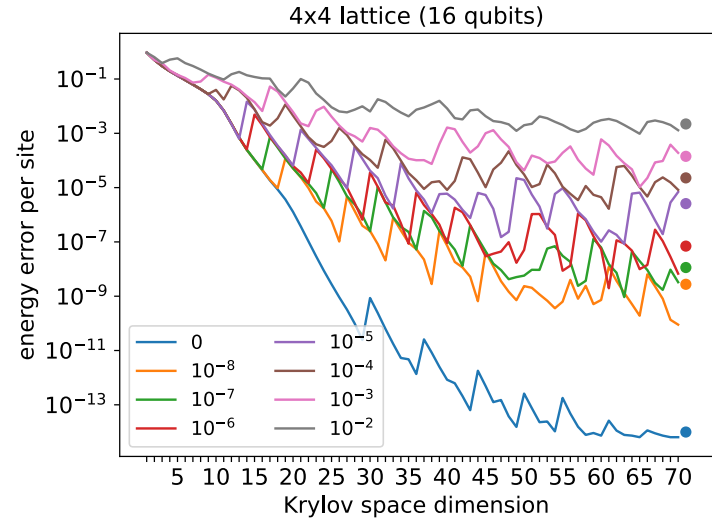


Quantum Krylov algorithms for ground state energy approximation

Will Kirby

IBM Quantum

IBM Research Cambridge, 314 Main St, Cambridge, MA



Motivation

Estimate ground state energy of quantum Hamiltonian.

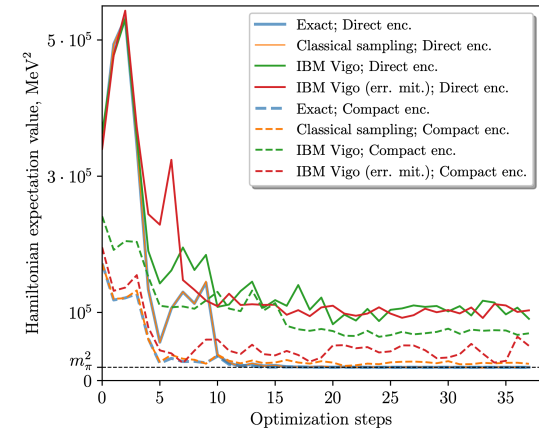
Abstract perspective: approximate lowest eigenvalue of Hermitian matrix.

Goal is classically challenging due to exponential Hilbert space dimension*.

*Assuming general, hard case: sufficiently entangled, supported on exponentially-many basis states, etc.

Example applications:

- Quantum chemistry.
- Condensed matter physics.
- Nuclear physics.
- High-energy physics.



Lanczos method

= classical method for approximating lowest eigenvalues.

High-level idea:

1. Initial guess $|\psi_0\rangle \Rightarrow H|\psi_0\rangle \Rightarrow \dots \Rightarrow H^{D-1}|\psi_0\rangle$
2. $(\mathbf{H}, \mathbf{S}) = \text{project } H \text{ onto } \underbrace{\text{span}[|\psi_0\rangle, H|\psi_0\rangle, H^2|\psi_0\rangle, \dots, H^{D-1}|\psi_0\rangle]}_V$

Krylov space
↙

$$\boxed{V^\dagger} \quad \boxed{H} \quad \boxed{V} = \boxed{\mathbf{H}}, \quad \boxed{V^\dagger} \quad \boxed{V} = \boxed{\mathbf{S}}$$

3. Lowest eigenvalue of (\mathbf{H}, \mathbf{S}) i.e., of $\mathbf{H}\mathbf{v} = \lambda\mathbf{S}\mathbf{v}$, approximates lowest eigenvalue of H

Lanczos method

Caveat: typically in classical Lanczos(-like) methods, would orthogonalize along the way... challenging in quantum implementations.

Advantage: converges exponentially with D (in ∞ precision arithmetic).

Disadvantage: classically, requires storing entire statevectors $H^i |\psi_0\rangle \Rightarrow$ exponential overhead.

Can we construct a quantum version that mitigates statevector overhead while keeping fast convergence?¹

¹ Klymko *et al.*, PRX Quantum 3, 020323 (2022); Epperly *et al.*, SIAM J. Mat. An. Appl. 43, 1263-1290 (2022); and many more!

Quantum “Lanczos method” = “Quantum Krylov”

Options for generating Krylov space: multiply $|\psi_0\rangle$ by...

- Powers of H — same as original Lanczos \Rightarrow nontrivial on quantum but possible in principle.¹
- $e^{-Hk dt}$ — this version claimed “Qlanczos.”
- $e^{iHk dt}$ — many good options e.g. Trotterization, qubitization, etc.
- $T_k(H)$ — arises naturally from block encoding.

Will focus on last two in this talk.

¹Seki and Yunoki, PRX Quantum 2, 010333 (2021)

Quantum Krylov with real time-evolutions

Majority of works have focused on Krylov states generated by real time-evolution:

$$V = [|\psi_0\rangle, U|\psi_0\rangle, U^2|\psi_0\rangle, \dots, U^{D-1}|\psi_0\rangle] \text{ for } U = e^{iHt}$$

Need to estimate

$$\mathbf{H}_{\mathbf{jk}} = \langle \psi_0 | (U^j)^\dagger H U^k | \psi_0 \rangle,$$

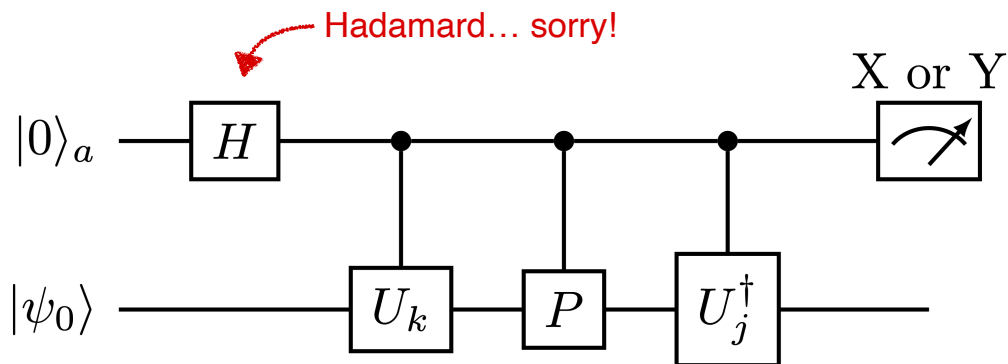
$$\mathbf{S}_{\mathbf{jk}} = \langle \psi_0 | (U^j)^\dagger U^k | \psi_0 \rangle$$

for each $j, k = 0, 1, \dots, D - 1$.

Estimating matrix elements (simple version)

Targets: $\mathbf{H}_{\mathbf{jk}} = \langle \psi_0 | (U^j)^\dagger H U^k | \psi_0 \rangle$, $\mathbf{S}_{\mathbf{jk}} = \langle \psi_0 | (U^j)^\dagger U^k | \psi_0 \rangle$.

Can approach using Hadamard test:*



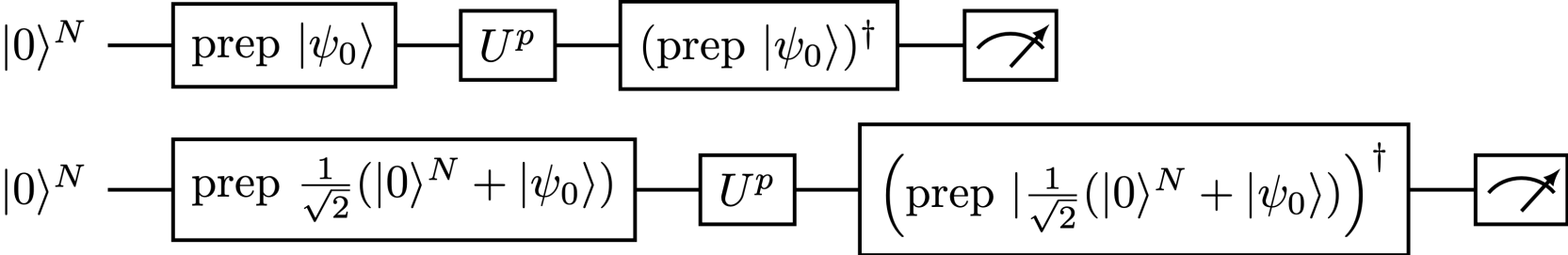
Yields $\langle X \rangle_a = \text{Re}[\langle \psi_0 | (U^j)^\dagger P U^k | \psi_0 \rangle]$, $\langle Y \rangle_a = \text{Im}[\langle \psi_0 | (U^j)^\dagger P U^k | \psi_0 \rangle]$

*Cortes and Gray, 2021.

Estimating matrix elements (better version)

Change target slightly: $\mathbf{U}_{jk} = \langle \psi_0 | (U^j)^\dagger U U^k | \psi_0 \rangle$, $\mathbf{S}_{jk} = \langle \psi_0 | (U^j)^\dagger U^k | \psi_0 \rangle$.

Suppose Hamiltonian preserves particle number (Hamming weight)...



Circuit #2: $\frac{1}{4} \left| (\langle 0 | + \langle \psi_0 |) U^p (|0\rangle + |\psi_0\rangle) \right|^2$ must have nonzero Hamming weight

$$= \frac{1}{4} \left(|\langle 0 | U^p | 0 \rangle|^2 + |\langle \psi_0 | U^p | \psi_0 \rangle|^2 + 2\text{Re}[\langle 0 | U^p | 0 \rangle \langle \psi_0 | U^p | \psi_0 \rangle] \right)$$

Circuit #1

*Cortes and Gray, 2022.

Quantum Krylov with real time-evolutions

Summary:

- Estimate \mathbf{H}_{jk} , \mathbf{S}_{jk} via Hadamard(-ish) tests and repeated sampling.
- Depending on Hamiltonian, can sometimes avoid controlled time-evolutions using symmetries.¹
- Advantage: can use crude approximations for time-evolution to get low circuit depth.
- Disadvantage: time-evolution always approximated — more accuracy requires more depth.

¹Cortes and Gray, Phys. Rev. A 105, 022417 (2022).

Quantum Krylov from block encoding

The most accurate simulations of time-evolution require Hamiltonian input as block encoding.¹

Block encoding: for H on n qubits (s.t. $\|H\| \leq 1$), find U on $m + n$ qubits s.t.

$$U = \begin{pmatrix} \begin{array}{|c|c|} \hline \color{green} H & \cdot \\ \hline \color{red} \cdot & \cdot \\ \hline \end{array} \\ \color{red} \cdot & \cdot \end{pmatrix},$$

$$R = \begin{pmatrix} \begin{array}{|c|c|} \hline \color{green} 1 & \cdot \\ \color{green} \cdot & \cdot \\ \color{green} \cdot & 1 \\ \hline \end{array} & \begin{array}{|c|c|} \hline \color{red} 0 & \cdot \\ \color{red} -1 & \cdot \\ \color{red} \cdot & \cdot \\ \color{red} \cdot & -1 \\ \hline \end{array} \end{pmatrix}$$

¹Low and Chuang, Quantum 3 (2019).

Quantum Krylov from block encoding

$$U^2 = 1 \quad \rightarrow \quad (RU)^j = \begin{pmatrix} T_j(H) & \cdot \\ \cdot & \cdot \end{pmatrix}$$

Brief proof:

1. Let $H|\lambda\rangle = \lambda|\lambda\rangle$ and $U = |G\rangle\langle G| \otimes H + \dots$

2. $\Rightarrow U|G\rangle|\lambda\rangle = \lambda|G\rangle|\lambda\rangle + \sqrt{1-\lambda^2}|\perp\rangle \Rightarrow U \sim \begin{pmatrix} \lambda & \cdot \\ \sqrt{1-\lambda^2} & \cdot \end{pmatrix}$

3. $U^2 = 1 \Rightarrow U \sim \underbrace{\begin{pmatrix} \lambda & \sqrt{1-\lambda^2} \\ \sqrt{1-\lambda^2} & -\lambda \end{pmatrix}}_{\text{reflection}} \Rightarrow RU \sim \underbrace{\begin{pmatrix} \lambda & \sqrt{1-\lambda^2} \\ -\sqrt{1-\lambda^2} & \lambda \end{pmatrix}}_{\text{rotation}}$

Quantum Krylov from block encoding

$$(RU)^j = \begin{pmatrix} \begin{matrix} T_j(H) & \cdot \\ \cdot & \cdot \end{matrix} \end{pmatrix}$$

⇒ Can use block encoding to exactly construct $T_j(H) |\psi_0\rangle$

Recall: Lanczos method ~ project H onto

$$\begin{aligned} & \text{span}\{ |\psi_0\rangle, H|\psi_0\rangle, H^2|\psi_0\rangle, \dots, H^{D-1}|\psi_0\rangle \} \\ & = \text{span}\{ |\psi_0\rangle, T_1(H)|\psi_0\rangle, T_2(H)|\psi_0\rangle, \dots, T_{D-1}(H)|\psi_0\rangle \} \end{aligned}$$

Quantum Krylov from block encoding

$$\begin{aligned}\mathbf{H}_{\mathbf{jk}} &= \langle \psi_0 | T_j(H) H T_k(H) | \psi_0 \rangle \\ &= \frac{1}{4} \left(\langle T_{i+j+1}(H) \rangle_0 + \langle T_{|i+j-1|}(H) \rangle_0 + \langle T_{|i-j+1|}(H) \rangle_0 + \langle T_{|i-j-1|}(H) \rangle_0 \right)\end{aligned}$$

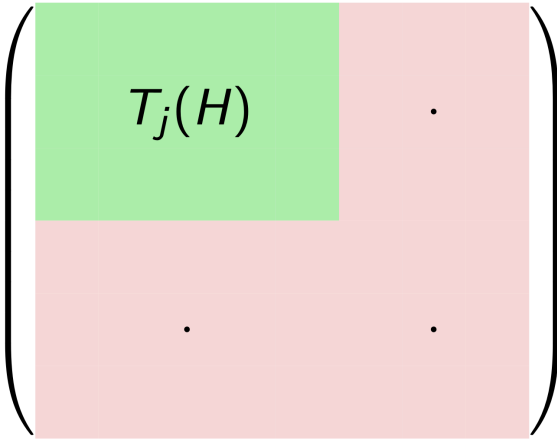
$$\mathbf{S}_{\mathbf{jk}} = \langle \psi_0 | T_j(H) T_k(H) | \psi_0 \rangle = \frac{1}{2} \left(\langle T_{i+j}(H) \rangle_0 + \langle T_{|i-j|}(H) \rangle_0 \right)$$

for $j, k = 0, 1, 2, \dots, D - 1$. In other words, need to estimate

$$\langle T_k(H) \rangle_0 = \langle \psi_0 | T_k(H) | \psi_0 \rangle$$

for $k = 0, 1, 2, \dots, 2D - 1$.

Quantum Krylov from block encoding

Since $(RU)^j =$ 

$$\Rightarrow \langle T_k(H) \rangle_0 = \langle \psi_0 | T_k(H) | \psi_0 \rangle = (\langle G | \otimes \langle \psi_0 |) (RU)^k (|G\rangle \otimes |\psi_0\rangle)$$

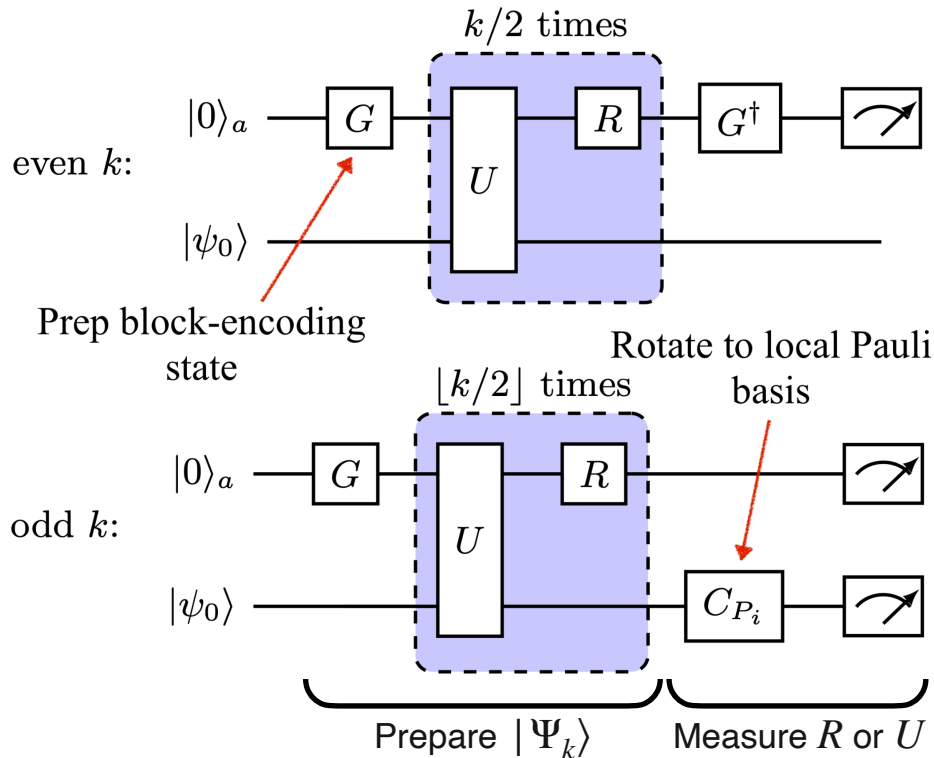
$$= \begin{cases} (\langle G | \otimes \langle \psi_0 |) (UR)^{\lfloor k/2 \rfloor} R (RU)^{\lfloor k/2 \rfloor} (|G\rangle \otimes |\psi_0\rangle) & \text{if } k \text{ is even,} \\ (\langle G | \otimes \langle \psi_0 |) (UR)^{\lfloor k/2 \rfloor} U (RU)^{\lfloor k/2 \rfloor} (|G\rangle \otimes |\psi_0\rangle) & \text{if } k \text{ is odd.} \end{cases}$$

$\underbrace{\hspace{10em}}_{\langle \Psi_k |}$
 $\underbrace{\hspace{10em}}_{|\Psi_k\rangle}$

Quantum Krylov from block encoding

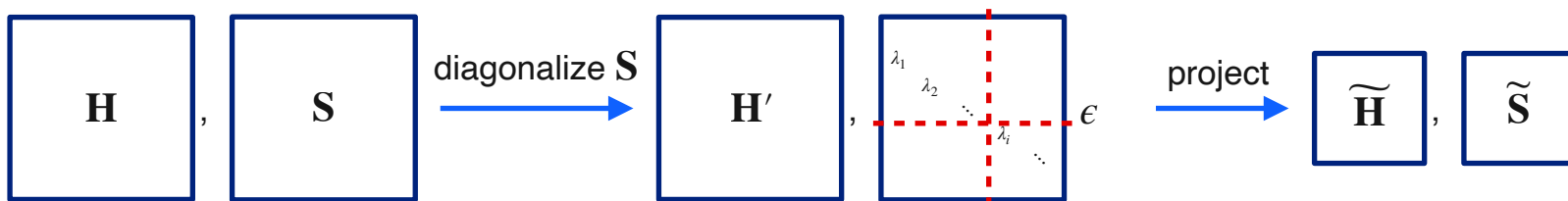
That yields the necessary circuits: for each $k = 0, 1, 2, \dots, D - 1 \dots$

$$U = \sum_i |i\rangle\langle i| \otimes P_i$$



Regularizing the Krylov space

- Either real-time or block-encoding Krylov methods yield noisy estimates of (\mathbf{H}, \mathbf{S}) .
- Want to solve $\mathbf{H}\mathbf{v} = \lambda\mathbf{S}\mathbf{v}$.
- Ill-conditioned if \mathbf{S} is ill-conditioned \Rightarrow need to regularize.
- “Canonical orthogonalization” or “thresholding”: project (\mathbf{H}, \mathbf{S}) onto eigenspaces of \mathbf{S} above threshold ϵ .

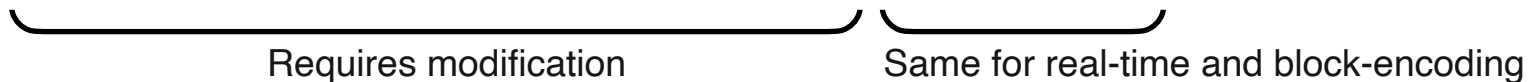


- \mathbf{S} is metric in Krylov space \Rightarrow choose threshold \sim noise rate \Rightarrow discards vectors compatible with 0.

Error analysis

- Real-time: analyzed in Epperly *et al.*, SIAM J. Mat. An. Appl. 43, 1263-1290 (2022).
- Block-encoding: in our paper, modification of Epperly's analysis.
- Three main error terms:

error from Krylov space + error from thresholding + error from noise



- First two terms **high-level idea of proof:**
 - Krylov space = $\text{span}[|\psi_0\rangle, T_1(H)|\psi_0\rangle, T_2(H)|\psi_0\rangle, \dots, T_{D-1}(H)|\psi_0\rangle] = \text{poly}_{D-1}(H)|\psi_0\rangle$
 - \Rightarrow Best poly approx to delta function at E_0 = approx ground space projector in Krylov space.
 - Thresholding \Rightarrow perturbation of Chebyshev expansion coefficients of projector.

Error analysis

“In practice” results:¹ to reach energy error \mathcal{E} , require...

$$\text{Krylov space dimension } D = \Theta \left[\left(\log \frac{1}{|\gamma_0|} + \log \frac{1}{\mathcal{E}} \right) \min \left(\frac{1}{\mathcal{E}}, \frac{1}{\Delta} \right) \right],$$

$$\text{Measurements per dimension } M = \Theta \left(\frac{1}{\mathcal{E}^2} + \frac{1}{\mathcal{E} |\gamma_0|^4} \right)$$

where γ_0 = initial state overlap with low-energy subspace, Δ = spectral gap.

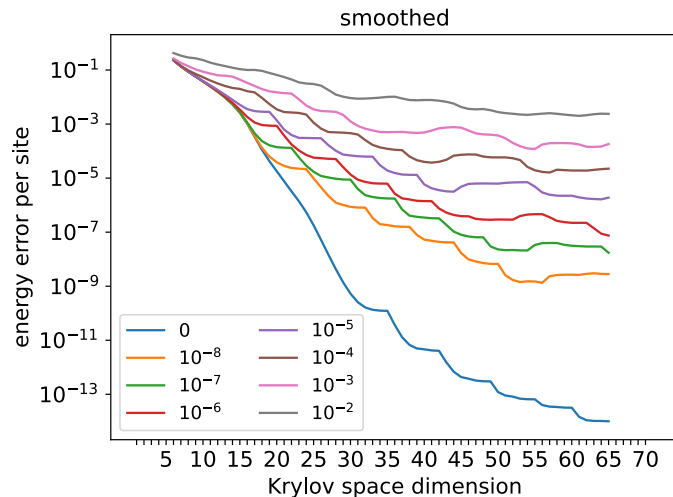
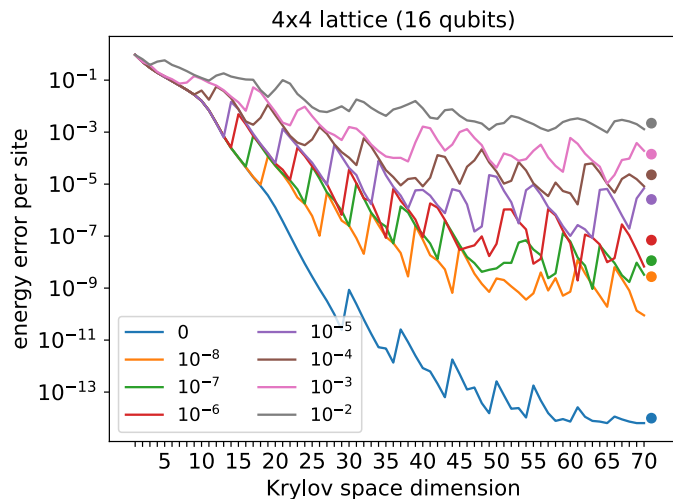
¹ “In practice” because theoretical bound only guarantees first term in M is \mathcal{E}^{-p} for $p \in [2,3]$; $p = 2$ is based on numerics.

² Above is for block-encoding; real-time Krylov space analysis is similar.

Comparing theory to numerics

$$\mathcal{E} \leq O\left(\underbrace{\frac{1}{\sqrt{M}} + \frac{\sqrt{\delta}}{|\gamma_0|^2 \sqrt{M}}}_{\text{Error from noise}} + \underbrace{\delta + \frac{1}{|\gamma_0|^2} \left(1 + \frac{\delta}{2}\right)^{-D}}_{\text{Error from Krylov space}}\right)$$

δ free, best choice is $\delta = \Theta(\max(\text{target error}, \Delta))$



Thank you!

Questions?

Will Kirby, Mario Motta, and Antonio Mezzacapo, Quantum 7, 1018 (2023),

<https://quantum-journal.org/papers/q-2023-05-23-1018/>.